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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

2712  
2612  
#22

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Submission No.	09/412,993
		Filing Date	October 5, 1999
		First Named Inventor	Layne Britton
		Group Art Unit	2712
		Examiner Name	Not yet assigned
Total Number of Pages in This Submission	9	Attorney Docket Number	3099P017

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">-copies of 22 cited references -return receipt postcard</div>
Remarks		
<b>RECEIVED</b> MAY 01 2002 Technology Center 2600		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	April 24, 2002

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: April 24, 2002			
Typed or printed name	Catherine D. Louthan		
Signature		Date	April 24, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number 09/412,993  
Filing Date October 5, 1999  
First Named Inventor Layne Britton  
Examiner Name Not yet assigned  
Group/Art Unit 2712  
Attorney Docket No. 3099P017

## METHOD OF PAYMENT (check one)

- ☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of the application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-indicated deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	

### 2. EXTRA CLAIM FEES

Total Claims  =  X  =   
Independent Claims  =  X  =   
Multiple Dependent  =  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)	

\*\*or number previously paid, if greater. For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920 *	112	920 *	Requesting publication of SIR prior to Examiner action	
113	1,840 *	113	1,840 *	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Gregory D. Caldwell

Registration No. 39,926  
(Attorney/Agent)

Telephone (503) 684-6200

Signature

Date 04/24/02

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Docket No.: 3099P017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

LAYNE BRITTON

Application No.: 09/412,993

Filed: October 5, 1999

For: **PROVIDING AUDIENCE FLOW IN A  
PERSONAL TELEVISION DEVICE**

Art Group: 2712

Examiner: Not yet assigned

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**MAY 01 2002**

**Technology Center 2000**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).


The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

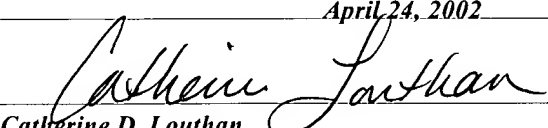
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 24, 2002

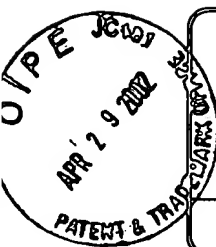
  
\_\_\_\_\_  
Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
(503) 684-6200

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*April 24, 2002*  
  
\_\_\_\_\_  
Catherine D. Louthan  
04-24-02  
Date

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Substitute for form 1449A/PTO <h2 style="text-align: center; margin: 10px 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; margin: 10px 0;"><i>(use as many sheets as necessary)</i></p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center; padding: 5px;"><b>Complete if Known</b></th> </tr> <tr> <td style="width: 50%; padding: 5px;">Application Number</td> <td style="width: 50%; padding: 5px;">09/412,993</td> </tr> <tr> <td style="padding: 5px;">Filing Date</td> <td style="padding: 5px;">October 5, 1999</td> </tr> <tr> <td style="padding: 5px;">First Named Inventor</td> <td style="padding: 5px;">Layne Britton</td> </tr> <tr> <td style="padding: 5px;">Group Art Unit</td> <td style="padding: 5px;">2712</td> </tr> <tr> <td style="padding: 5px;">Examiner Name</td> <td style="padding: 5px;">Not yet assigned</td> </tr> <tr> <td style="padding: 5px;">Attorney Docket Number</td> <td style="padding: 5px;">3099P017</td> </tr> </table>	<b>Complete if Known</b>		Application Number	09/412,993	Filing Date	October 5, 1999	First Named Inventor	Layne Britton	Group Art Unit	2712	Examiner Name	Not yet assigned	Attorney Docket Number	3099P017
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Group Art Unit	2712														
Examiner Name	Not yet assigned														
Attorney Docket Number	3099P017														

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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Office <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	2		WO 00/58833	A1	Beach, et al.	10-05-2000	
	3		WO 01/06370	A1	Barton, et al.	01-25-2001	
	4		WO 01/22729	A1	Barton, et al.	03-29-2001	
	5		WO 00/28736	A1	Barton, et al.	05-18-2000	
	6		WO 00/62298	A1	Vallone, et al.	10-19-2000	
	7		WO 00/58967	A1	Brown, et al.	10-05-2000	
	8		WO 00/18108	A2	Barton, James M.	03-30-2000	
	9		WO 01/47249	A2	Beach, et al.	06-28-2001	
	10		WO 00/62299	A1	Vallone, et al.	10-19-2000	
	11		WO 00/65233	A1	Look, et al.	10-19-2000	

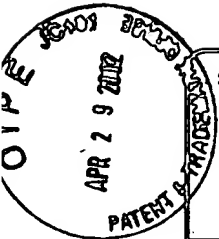
Examiner Signature	Date Considered
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Sheet

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Application Number	09/412,993
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First Named Inventor	Layne Britton
Group Art Unit	2712
Examiner Name	Not yet assigned
Attorney Docket Number	3099P017

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

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		Office <sup>3</sup>	Number <sup>4</sup> (if known)				
	12		WO 01/47238	A2	Beach, et al.	06-28-2001	
	13		WO 00/67475	A1	Heaton, et al.	11-09-2000	
	14		WO 01/46843	A2	Van Stam, Wijnand	06-28-2001	
	15		WO 00/58834	A1	Barton, et al.	10-05-2000	
	16		WO 01/47279	A2	Barton, James M.	06-28-2001	
	17		WO 01/65762	A2	Platt, et al.	09-07-2001	
	18		WO 99/52279	A1	Barton, James M	10-14-1999	
	19		WO 00/07368	A1	Barton, et al.	02-10-2000	
	20		WO 01/65862	A2	Barton, et al.	09-07-2001	
	21		WO 01/89203	A2	Chamberlin, et al.	11-22-2001	

Examiner Signature		Date Considered	
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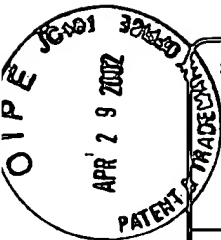
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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

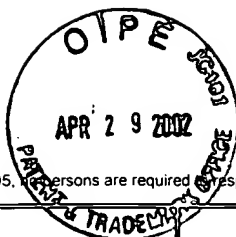
[illegible]Examiner  
Signature

Date	Considered
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